# Secondary characteristic classes of singular foliations (arxiv.org/abs/2106.10078)

#### Benjamin McMillan, in collaboration with Lachlan MacDonald

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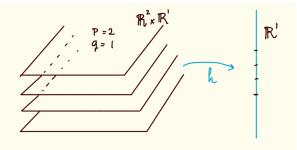
Dec. 8, 2021

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The standard codimension q foliation of ℝ<sup>p+q</sup> is the decomposition of ℝ<sup>p+q</sup> into the level sets (*leaves*) of the map ℝ<sup>p+q</sup> = ℝ<sup>p</sup> × ℝ<sup>q</sup> → ℝ<sup>q</sup>.

For 
$$q = 1$$
 and  $p + q = 3$ :



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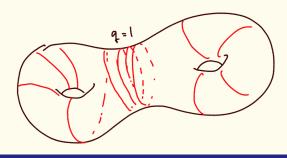
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#### Definition

On a manifold M, a (codimension q) foliation is a decomposition of M as a union of submanifolds of codimension q that locally looks like the standard foliation. The submanifolds are the *leaves* of the foliation.

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A foliation map f: (M, F) → (N, F') is a map that takes the leaves of M to leaves of N.

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### Smoothness

- Standing assumption: to make life easier, assume all objects and maps are smooth (that is, infinitely many derivatives) in this talk.
- There are interesting discussions about degree of regularity and existence of foliations, but they're not for today.

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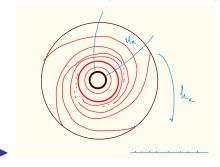
There are many alternative definitions for regular foliations. Haefliger provides one that is well suited to singular foliations.

#### Definition

A regular Haefliger foliation (of codimension *q*) on *M* is a collection of charts  $\{h_{\alpha} : U_{\alpha} \to \mathbb{R}^{q}\}$  covering *M*, **each a submersion**, with level sets compatible on overlaps  $U_{\alpha} \cap U_{\beta}$ .

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 We can easily relax the definition by dropping the requirement that charts be submersions.

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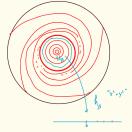
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The singular points are exactly those where the charts fail to be submersions.



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- Foliations are natural objects, which show up all over, but they are often singular!
- For example, letting n > q, the level sets of a map M<sup>n</sup> → N<sup>q</sup> define a Haefliger foliation on M, of codimension q. The foliaton is regular if and only if the map is a submersion. (The local coordinate patches on N pull back to Haefliger charts on M.)
- Consider the action of a (compact) Lie group G on a space M. (For example, the action given by a representation V of G.) The orbits will typically decompose M into a foliation, and this foliation is typically not regular. (For example, at the origin in V a representation of G.)

You can't comb a hedgehog! (There are no regular codimension 1 foliations on S<sup>2</sup>.)

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- The *local* theory of regular foliations is boring. Indeed, every point is geometrically the same! (Singular foliations have singularity type at singularities, obviously not boring, but...)
- The real interesting behavior is global, and here we have an interesting interplay with topology.
- Globally, we have questions like: How do the leaves wrap around each other? If you wander along a leaf, can you come back home? Or come close to home? (Ergodicity and so on.)
- For a famous example, the torii foliated by lines of either rational or irrational slope look very different globally, and this cannot be seen locally.

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- The theme of "locally boring, globally non-trivial" is a common one in maths. Here I would like to draw an analogy with the theory of vector bundles.
- A vector bundle *E* is, by definition, locally trivial, but it can be difficult to decide whether *E* is globally trivial.
- If you want to show that E is non-trivial, one of the first things you should consider is whether it has non-vanishing characteristic classes.
- There is a theory of characteristic classes for foliations, even singular ones. Let me quickly describe it, while reviewing the same for vector bundles.

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#### Characteristic classes

A characteristic class is a 'natural' assignment from foliations on *M* to elements of cohomology, *F* → *c*(*F*) ∈ *H<sup>k</sup>*(*M*) that respects foliation maps: For any map of foliations *f*: (*M*, *F*) → (*N*, *F'*),

$$f^*c(\mathcal{F}')=c(\mathcal{F}).$$

(Recall: a map of foliations is a map  $f: M \rightarrow N$  that sends leaves to leaves.)

Crucially for vector bundles, the characteristic classes are compatible with pullbacks: For any  $f: M \rightarrow N$  and bundle *E* over *N*, it holds that

$$\boldsymbol{c}(f^*\boldsymbol{E})=f^*\boldsymbol{c}(\boldsymbol{E})\in H^k(M).$$

We would like to say the same for foliations, but...

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### Functoriality necessesitates singularities

- Given a regular foliation (N, F'), and a map f: M → N, the pullback foliation is frequently *not* regular. (Definition of pullback: if (N, F') is defined by charts {h<sub>α</sub>: U<sub>α</sub> → ℝ<sup>q</sup>}, then (M, f\*F') is defined by the pullback charts {h<sub>α</sub>∘f: f<sup>-1</sup>(U<sub>α</sub>) → ℝ<sup>q</sup>}.)
- For example, fix any foliation (N, F') of codimension 1, and let  $M = S^2$ . There is no choice of codimension 1 foliation on  $S^2$  (the can't-comb-a-hedgehog theorem...), so necessarily the pullback foliation of any map  $S^2 \rightarrow N$  is singular.
- So, if we want the same level of functoriality as for vector bundles, we are required to admit singularities for foliations

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There are classically two approaches to characteristic classes: you pull back forms from a classifying space, or you push forward curvatures of a connection.

#### Theorem (Haefliger)

There exists a classifying space  $B\Gamma_q$  for codimension-q foliations. Foliations on M 'up to homotopy' are in bijection with homotopy classes of maps  $M \to B\Gamma_q$ .

- As happens with vector bundles, the cohomology elements of  $B\Gamma_q$  define characteristic classes, by pullback.
- This formulation is already compatible with singular foliations and all pullbacks. The functoriality of characteristic classes follows by definition.
- However, in terms of actually computing, this approach is difficult.

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## Characteristic classes via curvature

If you actually want to compute characteristic classes, you're often well served to go the other direction: the Chern-Weil construction of characteristic classes.

#### Characteristic classes via curvature

#### Theorem (Bott, Haefliger)

Given a **regular** foliation  $(M, \mathcal{F})$ , choose connections 'adapted' to the foliation. One can construct explicit differential forms in  $\Omega^*(M)$  that represent characteristic classes in cohomology. These are constructed by universal formula in the curvature and the connection forms.

This map is very explicit, and furthermore, you now get precise form representatives for characteristic classes, and naturality at the form level (i.e. prior to descending to cohomology.)

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### Haefliger bundles

- There is another way to describe the Bott characteristic class construction. There is a natural assignment of principal bundles *H*(*M*, *F*), meaning that *H*(*M*, *f\*F'*) = *f\*H*(*N*, *F'*) for any foliation map (*M*, *f\*F'*) → (*N*, *F'*).
- There is a tautological construction of 'characteristic classes' on  $\mathcal{H}(M, \mathcal{F})$ , we simply need a way to get them down to M.

Any choice of metric g on a regular foliation  $(M, \mathcal{F})$  defines a (tautological) section  $\lambda_g$  of the Haefliger bundle, and we can simply pull back by this section.

The Haefliger bundle is well defined for singular foliations, what remains is the question of how to naturally construct sections, so as to pull back characteristic classes.

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## Adapted metrics

#### Definition

Given a singular foliation  $(M, \mathcal{F})$  whose set of regular points  $\tilde{M}$  is dense in M, a metric on  $\tilde{M}$  is *adapted* if the section  $\lambda_g \colon \tilde{M} \to \mathcal{H}(\tilde{M}, \mathcal{F})$  extends smoothly to a section of  $\mathcal{H}(M, \mathcal{F})$ .

- If you have such a section, then you have succeeded in defining a form-level characteristic map for your singular foliation. You simply pull back by the extended section.
- Of course, this definition is only useful if adapted metrics exist! But they're not difficult to construct.

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### The existence of adapted metrics

#### Theorem

Let  $(N, \mathcal{F}', g')$  be a (singular) foliation, with g' an adapted metric. Then for any generically transverse immersion  $f: M \to (N, F')$ , the pullback metric  $g = f^*g'$  on  $\tilde{M}$  is adapted to the foliation  $(M, f^*\mathcal{F}')$ .

I haven't given you the definition of generically transverse immersion, but it's an easily satisfied condition that implies the regular set of f\* F' is dense.

Subject to dimension constraints, any map  $M \rightarrow N$  can be slightly perturbed to be a generically transverse immersion.

Any metric on a regular foliaton is adapted. On the other hand, pullback foliations by generic transverse immersions frequently gain singularities. So the theorem already gives a large class of new foliations for our theory to apply to.

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Thanks for listening!

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